

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 197(b) or 1.97(c))

**Docket No.**  
**14531.97**

**In Re Application Of**      **Phillip Y. Goldman**

<b>Application No.</b>	<b>Filing Date</b>	<b>Examiner</b>	<b>Customer No</b>	<b>Group Art Unit</b>	<b>Confirmation No.</b>
09/820,582	03-29-2001	Koenig, Andrew	47973	2623	2866

**Title: REGULATING THE QUALITY OF A BROADCAST**

**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

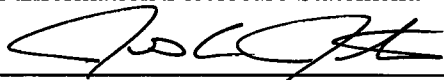
**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);  
**OR**  
☒ the fee set forth in 37 CFR 1.17(p).

**Payment of Fee**

**(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))**

- ☐ A check in the amount of \$      is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.
- ☐ Charge the amount of \$      .
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☒ Payment by credit card using the payment option in eFiler with RAM will be used to cover the payment of fees with respect to this Information Disclosure Statement.

  
\_\_\_\_\_  
*Signature*

Dated this 20 day of October, 2007.

RICK D. NYDEGGER  
Registration No.: 28,651  
JENS C. JENKINS  
Registration No.: 44,803  
Attorneys for Applicant  
Customer No.: 47973

VIA eFILE

PATENT APPLICATION

Docket No.: 14531.97

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Phillip Y. Goldman	)
		)
Application No.:	09/820,582	) Art Unit
		) 2623
Filed:	March 29, 2001	)
		)
Confirmation No.:	2866	)
		)
For:	REGULATING THE QUALITY OF A	)
	BROADCAST	)
		)
Examiner:	Koenig, Andrew Y.	)
		)
Customer No.:	47973	)

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

VIA eFILE IDS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 26 day of October, 2007.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Rick D. Nydegger", written in a cursive style.

RICK D. NYDEGGER  
Registration No.: 28,651  
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